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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael J. Hope and Wendi Rodriguez  
 Application No./Patent No.: 09/322,336 Filed/Issue Date: May 28, 1999  
 Entitled: LIPOSOME COMPOSITIONS AND METHODS FOR THE TREATMENT OF ATHEROSCLEROSIS  
The University of British Columbia, a University  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 6983, Frame 0399, or for which a copy thereof is attached. The Assignment was recorded in parent application U.S.S.N. 08/206,415

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
 [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

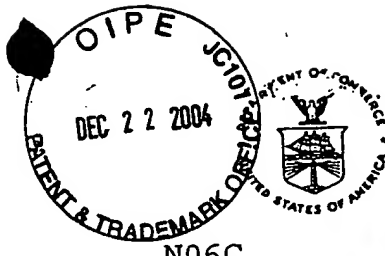
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

July 23, 2004  
 Date

Angus Livingstone  
 Managing Director  
 University - Industry Liaison Office  
 Signature  
 Title

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ASSIGNOR:  
HOPE, MICHAEL J.

DOC DATE: 04/08/94

ASSIGNOR:  
RODRIGUEZA, WENDI

DOC DATE: 04/08/94

RECORDATION DATE: 05/09/94      NUMBER OF PAGES 003      REEL/FRAME 6983/0399

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:  
UNIVERSITY OF BRITISH COLUMBIA, THE  
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SERIAL NUMBER      8-206415      FILING DATE      03/04/94  
PATENT NUMBER           ISSUE DATE      00/00/00

*TERI McDowell*  
EXAMINER/PARALEGAL

ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Michael J. Hope of 3550 W. 11th Avenue, Vancouver, Canada V6R 2K2, Wendi Rodriguez of 1425 W. 33rd Avenue, Vancouver, Canada V6M 1A6, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: LIPOSOME COMPOSITIONS AND METHODS FOR THE TREATMENT OF ATHEROSCLEROSIS

Date(s) of execution of Declaration: \_\_\_\_\_

Filing date: 03/04/94 Serial No.: 08/206,415; and

WHEREAS, The University of British Columbia, located at 2194 Health Sciences Mall, Vancouver, BC, Canada V6T 1Z3, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the

invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: April 8<sup>th</sup> 1994

Michael J. Hope  
Michael J. Hope

Date: April 8<sup>th</sup>, 1994

Wendi Rodriguez  
Wendi Rodriguez

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